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Response Under 37 C.F.R. §1.116
Expedited Procedure Examining
Examining Group 2812

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Chung H. Lam

Examiner: Ron E. Pompey

Serial No: 10/029,512

Art Unit: 2812

Filed: December 21, 2001

Docket: BUR919990120US2 (13344A)

For: PROCESS USING POLY-BUFFERED STI **Dated:** July 15, 2003

Director of the United States
Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
Mail Stop 16

REFUND REQUEST


Sir:

This is a request for a refund, with respect to the charge to Deposit Account 09-0456/IBM, shown on the amendment transmittal letter dated January 24, 2003, for the above-identified patent application.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 15, 2003.

Dated: June 15, 2003



Leslie S. Szivos

FEES CHARGED FOR WHICH REFUND IS REQUESTED

On January 24, 2003 an additional fee was charged for an additional independent claim. The additional fee amounted to \$84.00 dollars. Applicants respectfully request a total refund of \$84.00 be credited to Deposit Account 09-0456/IBM.

EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR

Applicants submit that the additional fee for a new independent claim was the result of clerical error. Applicants note that a new independent claim was not added in the Office Action response dated January 24, 2003. Therefore, since a new claim was not entered during prosecution, applicants respectfully request that the additional fee be credited to the applicants' Deposit Account 09-0456/IBM.

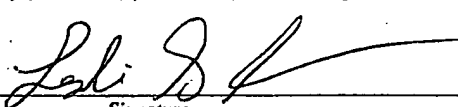
Respectfully submitted,



Leslie S. Szivos
Registration No. 39,394

SCULLY, SCOTT, MURPHY & PRESSER
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343

LSS:HAH:jy

AMENDMENT TRANSMITTAL LETTER (Large Entity)			Docket No. BUR919990120US2(13344A)		
Applicant(s): Chung H. Lam					
Serial No. 10/029,512	Filing Date December 21, 2001	Examiner Ron E. Pompey	Group Art Unit 2812		
Invention: PROCESS USING POLY-BUFFERED STI					
<u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u>					
Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.					
CLAIMS AS AMENDED					
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	6 -	20 =	0 x	\$18.00	\$0.00
INDEP. CLAIMS	4 -	3 =	1 x	\$84.00	\$84.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$84.00
<input checked="" type="checkbox"/> No additional fee is required for amendment. <input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____ A duplicate copy of this sheet is enclosed. <input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0456/TBM A duplicate copy of this sheet is enclosed. <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17.					
 _____ Signature			Dated: January 24, 2003		
Leslie S. Szivos Registration No. 39,394 SCULLY, SCOTT, MURPHY & PRESSER 400 Garden City Plaza Garden City, New York 11530 (516) 742-4343					
<div style="border: 1px solid black; padding: 5px;"> I certify that this document and fee is being deposited on 1/23/03 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231. <div style="text-align: center;"> Signature of Person Mailing Correspondence Mishelle Mustafa Typed or Printed Name of Person Mailing Correspondence </div> </div>					
cc:					

**TRANSMITTAL LETTER
(General - Patent Pending)**

Docket No.
BUR919990120US2 (13344A)

In Re Application Of: **Chung H. Lam**

Serial No.
10/029,512

Filing Date
December 21, 2001

Examiner
Ron E. Pompay

Group Art Unit
2812

Title: **PROCESS USING POLY-BUFFERED STI**

OFFICE OF EVAN
REFUND BRANCH
2003 JUL 21 PM 4:21
US PATENT & TRADEMARK
OFFICE

TO THE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE:

Transmitted herewith is:

REFUND REQUEST

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **09-0456/IBM** as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.


Signature

Dated: **July 15, 2003**

Leslie S. Szivos
Registration No.: **39,394**

SCULLY, SCOTT, MURPHY & PRESSER
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343

cc: **LSS:HAH:jy**

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Signature

Leslie S. Szivos

Typed or Printed Name



#6/Am
B
1/31/03
V/S
PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Chung H. Lam

Examiner: Ron E. Pompey

Serial No: 10/029,512

Art Unit: 2812

Filed: December 21, 2001

Docket: BUR919990120US2 (13344A)

For: PROCESS USING POLY-BUFFERED STI **Dated:** January 24, 2003

Assistant Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

AMENDMENT AND RESPONSE

Sir:

In response to the Office Action dated October 24, 2002, applicants submit the following amendments and remarks for entry of record in the above-identified patent application.

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IN THE SPECIFICATION:

Please amend Page 12, lines 1-15, as follows:

b) --Next, as shown in Fig. 2E, the structure thus formed is planarized down to the surface of the substrate utilizing a conventional planarization process such as chemical-mechanical

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

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Dated: January 24, 2003

Michelle Mustafa

Adjustment date: 11/28/2003 EEKUBAY1
01/28/2003 SZEWDIE1 00000103-090456 10029512
01 FC:1201 84.00 CR